

3rd 2nd Request

**HICKMAN PALERMO TRUONG & BECKER LLP**

2055 Gateway Place, Suite 550

San Jose, CA 95110-1089

(408) 414-1080

Facsimile (408) 414-1076

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MAY 25 2006

**FACSIMILE****FROM:**

Attorney: John D. Henkhaus Direct Phone: 408-414-1080 x203  
 Attorney's E-Mail: jhenkhaus@hptb-law.com Sender's Fax: San Jose, CA (408) 414-1076  
 Secretary: Darci Sakamoto Direct Phone: 408-414-1080x211  
 Client/Matter/Tkpr: 50269-0520 Date: 5/25/06 Time: 5:46  
4/19/06 Sent:           

Number of pages including this page: 18**TO:**

Name	Company	Facsimile No.	Contact No.
Benjamin R. Bruckart	USPTO	571/273-8300	571/272-3982

**MESSAGE:**

Application No. - 10/017,997  
 Filing Date - October 30, 2001  
 First Named Inventor - Joseph J. Bai  
 Attorney Docket No. - 50269-0520  
 Examiner - Bruckart, B.  
 Art Unit - 2155

An IDS in the above-referenced case was submitted on  
 May 22, 2002.

Please initial enclosed Form PTO-1449 and return to us via  
 facsimile.

(Also enclosed is the IDS's with certificate of mailing)

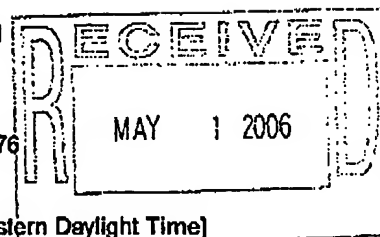
Also enclosed is a copy of the initialed 1449 crossing off Pitts  
 (5,611,049) saying that it has already been cited. The above noted  
 IDS filed on May 22, 2002 and does not list Pitts. We do not show  
 where it has already been cited. Please advise. Thank you.

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Total Pages: 7 (including cover page)



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Cover  
Page

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05/01/2006 13:18 4084141076 HPTB PAGE 01/07  
*2nd Request*

**HICKMAN PALERMO TRUONG & BECKER LLP**  
2055 Gateway Place, Suite 550  
San Jose, CA 95110-1089  
(408) 414-1080  
Facsimile (408) 414-1076

**FACSIMILE**

**FROM:**  
Attorney: John D. Henkhaus Direct Phone: 408-414-1080 x203  
Attorney's E-Mail: jhenkhaus@hptb-law.com Sender's Fax: San Jose, CA (408) 414-1076  
Secretary: Dorei Sakamoto Direct Phone: 408-414-1080 x211  
Client/Matter/Tkpr: 50269-0520 Date: 5/1/06 Time Sent: 4:19:20 PM  
Number of pages including this page: 7

**TO:**

Name	Company	Facsimile No.	Contact No.
Benjamin R. Bruckart	USPTO	571/273-8300	571/273-3982

**MESSAGE:** Application No. - 10417597  
Filing Date - October 30, 2001  
First Named Inventor - Joseph J. Bai  
Attorney Docket No. - 50269-0520  
Examiner - Bruckart, B.  
Art Unit - 2135  
An IDS in the above-referenced case was submitted on May 22, 2002.  
Please initial enclosed Form PTO-1449 and return to us via facsimile.  
(Also enclosed is the IDS's with certificate of mailing)  
Also enclosed is a copy of the initialed 1449 crossing off Pims (5,611,049) saying that it has already been cited. The above noted IDS filed on May 22, 2002 and does not list Pims. We do not show where it has already been cited. Please advise. Thank you.

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MAY 25 2006 SHEET 1 OF 1

INFORMATION DISCLOSURE  
CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
50269-0520SERIAL NO.  
10/017,997APPLICANT  
Joseph J. Bai, et al.FILING DATE  
October 30, 2001GROUP  
2152

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,119,150	9/12/00	Fujii et al.	709	213	1/24/97
	6,237,060	5/22/01	Shilts et al.	711	100	4/23/99

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

## OTHER ART (Including Author, Title, Date, Patent No., Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

## Acknowledgment Receipt

Mailing Date: May 22, 2002  
Attorney Docket No. 50269-0520  
Serial No.: 10/017,997  
Inventor(s): Joseph J. Bai, et al.

Attorney: JDH/cf:jn  
First Class Mail

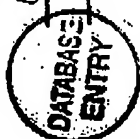
Title: COOPERATIVE MANAGEMENT OF DISTRIBUTED NETWORK CACHES

## Documents Enclosed:

- 1) Information Disclosure Statement (3 pgs)
- 2) PTO 1449 (1 pg)
- 3) 2 References Cited
- 4) Return Acknowledgment Postcard

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DATES: 6/1/02



Kindly stamp the receipt date and return to addressee to acknowledge receipt of the above documents.

P-00060-PAT-N

Attorney Docket No. 50269-0520

**RECEIVED  
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In re application of:

Group Art Unit No.: 2152

Joseph J. Bai, et al.

Examiner: NYA

Serial No.: 10/017,997

Filed on: October 30, 2001

For: **COOPERATIVE MANAGEMENT OF  
DISTRIBUTED NETWORK CACHES**Commissioner for Patents  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

       1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart

P-00060-PAT-N

1

## Attorney Docket No. 50269-0520

foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_ 37 C.F.R. § 1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_ A statement pursuant to 37 C.F.R. § 1.97(e)

\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_ 37 C.F.R. § 1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

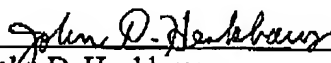
Attorney Docket No. 50269-0520

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG &amp; BECKER LLP

Dated: May 22, 2002

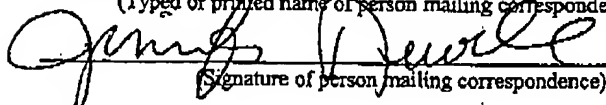
  
\_\_\_\_\_  
John D. Henkhaus  
Reg. No. 42,656

1600 Willow Street  
San Jose, California 95125-5106  
Telephone: (408) 414-1080  
Facsimile: (408) 414-1076

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Jennifer Newell

(Typed or printed name of person mailing correspondence)

  
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(Signature of person mailing correspondence)

**MAY 25 2006**

10/28/2005 10:06 4084141075

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PAGE 85/89

SHEET 1 OF 1

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
50269-0520

SERIAL NO.  
10/017,997

APPLICANT  
Joseph J. Bai, et al.

FILING DATE  
October 30, 2001

GROUP  
2152

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
Pat	6,611,049	9/11/97	Pitts	395	200.09	6/2/02
BBB	6,085,234	7/4/00	Pitts et al.	709	217	7/23/98

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER ARTS USED:

EXAMINER  
BBB

DATE CONSIDERED  
3-3-06

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 608; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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